PTO/\$8/17 (12-04v2)

Name (Print/Type) Winston Hsu

#### RECEIVED **CENTRAL FAX CENTER**

#### JUL 3 1 2006

Under the Paperwoo	k Reduction Act of 19	95 по регьола аго і	equired to re	U.S. Paten espond to a collection	t and Traden n of informat	rark Office: U.S. DE ion unless it display	PARTMENT OF COMMERCE s a valid OMB control number
	Effective on 12/08	r2004.				mplete if Kno	
	Consolidated Appropri		'	Application Nur	nber 10	)/605,653	
FEE	<b>TRANS</b>	SMHIL	AL [	Filing Date	10	)/15/2003	
	For FY	2005		First Named In	ventor CI	huan-Pei Yu	
				Examiner Name	e H	an, Jason	
Applicant clair	ns small entity statu	<del></del>	1.27	Art Unit		375	
TOTAL AMOUNT	OF PAYMENT (	\$)\/180.09		Attorney Docke	t No. Al	JOP0022US/	\
METHOD OF PA	YMENT (check	all that apply)	7				
Check	Credit Card	Money Order	Non	e Other	please identi	(y);	
Denosit Acc	ount Deposit Accor	/1	105	•	-		liectual Propeny Corporation
	ve-identified deposi	1					
	ge fee(s) indicated	,		<del>3</del>			cept for the filing fae
لجسيا	ge any additional fe		ents of fee		• • • •		copt for the mind the
LY unde	r 37 CFR 1.16 and	1.17		المان المان	t any overp		
WARNING: Information and auth	on on this form may l orization on PTO-203	secome public. Cred 8.	iit card infor	mation should not	be included	on this form. Prov	nde credit card
FEE CALCULAT	ION						
1. BASIC FILIN	G. SEARCH, AND	D EXAMINATIO		CH FEES	EYAMIN	IATION FEES	
		Small Entity		Small Entity		Small Entity	Fees Paid (\$)
Application Tv		Fee (\$)	Fee (\$)		Fee (\$)		Last LTM (3)
Utility	300	150	500	250	200 130	100	
Design	200 200	100	100	50	160	65	- <u> </u>
Plant	300	100 150	300 500	150 250	600	80 300	a de la companya della companya de la companya della companya dell
Reissue Provisional	200	100	500	230	0.00	300 0	
2. EXCESS CL		100	V	U	U	U	Small Entity
Fee Description						Fee (5)	Fee (\$)
Each claim ov	er 20 (including l	Reissues)				50	25
	dent claim over 3	(including Reiss	ues)			200 360	100 180
Multiple depe	ncient claims Extra Clai	ms Fee(\$)	Foo	Paid (\$)		- •	pendent Claims
	or HP *	X	E	7.010.102		Fee (\$)	Fee Paid (\$)
•	er of total claims paid f			Dale (#)			
Indep. Claims	Extra Cla	ims <u>Fee (\$)</u> ×		Paid (\$)			
	r of independent chain	s paid for, if greater t	hen 3.				
. APPLICATIO	N SIZE FEE						
If the specificati	on and drawings	exceed 100 shee	ets of pape	er (excluding cl	ectronical	y mea sequenc	ech additional 50
listings unde	er 3/ CFK 1.52(e)	)), the application	m size iee	uue is 3230 (3)	: V(s)   72   101 2111	an entity) for e	ach additional 50
Total Sheets	ction thereof. S Extra Sh		mber of e	ch additional 5	or fractio	n thereof Fee	(\$) Fee Paid (\$)
. OTHER FEE(S	· 100 »	/50		(round up to a v	WIOSE BUSIND	CI) A	Ease Daid ISL
		130 fee (no sma	ll entity d	iscount)	•		Fees Paid (5)
	te filing surcharg				re Statem	ent	180.00
JEMITTED BY							
	the state of the s						
gnature 🛃	ala melan 6			Registration No. Attorney/Agent)	41.526	Telephoi	° 3027291562

This collection of Information is required by 37 CFR 1.136. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentisity is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the including space on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



JUL 3 1 2006

# North America Intellectual Property Corporation

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

FAX: 806-498-6673

e-mail:winstonhsu@naipo.com

Customer No.: 27765

Fax To: HAN, JASON

Art Unit: 2875

Tel.: (571) 272-2207

Fax: (571) 273-8300

From: Winston Hsu, Registration No. 41,526

Serial No.: 10/605,653

Attorney Docket No.: AUOP0022USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 33 pages (including cover page)

Winston Hsu Date: JUL 3 1 2008

AUOP0022USA0\_D1\_4

### JUL 3 1 2006

PTO/SB/21 (09-04)

Approved for use through 07/31/2003, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1985, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL		Application Number 10		10/605,653					
		Filing Date	10/15/2003			A <b>9, 140,</b>			
FORM	FORM		Chus	Chuan-Pei Yu					
		Art Unit	2875						
(to be used for all correspondence after initia	l filing)	Examiner Name	Han,	Han, Jason					
Total Number of Pages in This Submission	32	Attorney Docket Number	AUO	P0022U	SA				/
	ENCL	OSURES (Check a	il (hat app	lv)	<u>-</u>			<del></del>	
Fee Transmittal Form  Fee Attached  Li  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocat Change of Correspondence Ferminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on C	Appeal Communication to I of Appeals and Interference attition  attition  Appeal Communication to I of Appeals and Interference attition  Appeal Communication to I (Appeal Notice, Brief, Reply 8  Proprietary Information  Status Letter  Other Enclosure(s) (please below):  Landscape Table on CD		ferences ion to TC Reply Brief) ion				
SIGNA	TURE O	F APPLICANT, ATTO	DRNEY,	OR AG	NT	***			
Firm Name North America Intelle	ctual Prop	perty Corporation							
Signature Country Ho	e-c.								
Printed name Winston Hsu	Printed name Winston Hsu								
Date JUL 3 1 ZUU6		Reg. No. 41,526							
CERTIFICATE OF TRANSMISSION/MAILING									
I hereby certify that this correspondence is to sufficient postage as first class mail in an er the date shown below:  Skinature	peing facsing velope add	nile transmitted to the USF dressed to: Commissioner	PTO or dep for Patenti	osited wit , P.O. Bo	h the Ui x 1450,	nited Star	ies Po ie, VA	ostal Service A 22313-1450	with O on
Typed or printed name Jun Yu					Date	JUL	3	2006	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

### JUL 3 1 2006

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. QMB 0851-0031

U.S. Patent and Tredemark Office; U.S. DEPARTMENT OF COMMERCE a collegijon of information unless it contains a valid OMB control and the collegion of information unless it contains a valid OMB control and the collegion of information unless it contains a valid OMB control and the collegion of information unless it contains a valid OMB control and the collegion of information unless it contains a valid OMB control and the collegion of the co

Under the Pacetwork Reduction Act of 1995, no corsons are required to resp			ersons are required to re	Complete if Known		
Substitute	e for form 1449/P1'O			Application Number	10/605,653	
				Filing Date	10/15/2003	
INFORMATION DISCLOSURE				First Named Inventor	Chuan-Pel Yu	
STATEMENT BY APPLICANT				Art Unil	2875	
(Uso as many sheets as necessary)			n)	Examiner Name	Han, Jason	
Sheet	i i	of		Attorney Docket Number	AUOP0022USA	

			U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentes or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code <sup>2 (# 10000)</sup>			Figures Appear
		US-			
***************************************		US-			
	l	US-			
··		US-			
		US-	<del>                                     </del>		
	<u> </u>	US-	<del>- -</del>		
		US-		AAAAAA	
,,		US-			
		US		·	
		us-			**************************************
		US-		Manual State (1971)	
		US-			
		US			
	·	US-			
	<u> </u>	US-			
	<b></b>	US			

		FOREIGN	PATENT DOCL	MENTS	.,	
Exeminer	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>2</sup> Number <sup>4</sup> (Chid Code <sup>0</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	15
	1	JP 2000-030520 A	01-28-2000	OMRON CORP	{	
	2	JP H10-082915 A	03-31-1998	OMRON CORP		
	<u> </u>					
		was a " I man a				<u> </u>
						T

		<del></del>
Examiner	Date	}
	Conside	end j
Signature		

\*EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.usxto.gov">www.usxto.gov</a> or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must proceed the series number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to other in the sublic which is to file (and by the

language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this term and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Palent and Trademark Office, D. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patente, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

### JUL 3 1 2006

#### **PATENT**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Chuan-Pei Yu and Kelly Wei

5 Appl. No.:

10/605,653

Filing Date: 10/15/2003

Examiner:

HAN, JASON

Art Unit:

2875

Docket No.:

AUOP0022USA

Confirmation No.: 2652

Title:

**BACKLIGHT MODULE** 

10

20

.25

To: Commissioner for Patents

P.O. BOX 1450

Alexandria, VA 22313-1450

15 Subject:

Information disclosure statement under 37C.F.R.§1.56,

Dear Sir,

This is an Information Disclosure Statement in accordance with the duty to disclose information material to patentability under 37 CFR §1.56. The applicant wishes to make of record the documents listed on the accompanying form PTO/SB/08.

Since this IDS is filed after the mailing date of notice of allowance but before payment of the issue fee, consideration of the information disclosure statement is hereby requested according to 37 CFR §1.97(d). And the fee of submission of an information disclosure statement set forth in §1.17(p) is enclosed to fulfill §1.97 requirement. That each item of information contained in the information disclosure statement was

first cited in an Office communication mailed on May 23, 2006 for the counterpart Japan patent application No. 2003-408515, which are no more than three months prior to the filing of the information disclosure statement.

5

According to the requirement set forth in 37 CFR 1.98(a)(3) and MPEP 609.04(a) III, an English language abstract of each cited reference is included herewith to fulfill the concise explanation requirement.

10

15

It is respectfully requested that the examiner can consider the document(s) listed on the accompanying form PTO/SB/08 and that it be made of record in the application. The applicants sincerely hope that the examiner initials the cited reference(s) on the form and that a copy of the initialed form be sent to the applicants with the next communication from the examiner.

20

25

Respectfully submitted,

Weintenther

Date: JUL 3 1 2006

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562 Facsimile: 806-498-6673

10 e-mail: winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. (The time in

D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)

15

#### JUL 3 1 2006

Approved for use through 07/31/2006. OMB 0551-0032
U.S. Palent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
of policition of information unless it displays a valid OMB control number Under the Paperwork Reduction Act of 1995 no persons are required to respond Complete if Known Effective on 12/08/2004. Fees pursuent to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/605,653 Application Number FEE TRANSMITTAL 10/15/2003 Filing Date For FY 2005 Chuan-Pei Yu First Named Inventor Han, Jason Examiner Name Applicant claims small entity status. Sée 37 FR 1.27 Art Unit 2875 TOTAL AMOUNT OF PAYMENT /180.00 AUOP0022USA Attorney Docket No. METHOD OF PAYMENT (check all that are Check Credit Card Ørder Other (please identify): None 50-310 ✓ Deposit Account Deposit Account Name: North America Intellectual Property Corporation Deposit Account Numb For the above-identified deposit account, the e Direct r is hereby authorized to: (check all that apply) ✓ Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEES SEA SEARCH FEES **EXAMINATION FEES** Small Entity **Small Entity** Small Entity Fee (\$) Fees Paid (S) Application Type Fee (5) Fee (\$) Foe (\$) Foe (\$) 300 Utility 150 500 250 200 100 Design 200 100 100 50 130 65 Plant 200 100 300 160 150 80 300 Reissue 600 150 500 250 300 Provisional 200 100 0 0 **EXCESS CLAIM FEES** Small Entity Fee (5) Fee Description Fee (\$) Each claim over 20 (including Reissues) 50 25 200 Each independent claim over 3 (including Reissues) 100 360 180 Multiple dependent claims **Total Claims** Extra Claims Fee (\$) Fee Paid (\$) **Multiple Dependent Claims** - 20 or HP = Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. Indep. Claims Extra Claims Fee Paid (\$) Fee.(\$) -3 or HP × HP = highest number of independent deims paid for, if greater than 3. **APPLICATION SIZE FEE** If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets

| Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) (round up to a whole number) 4. OTHER FEE(S) Feas Paid (\$) Non-English Specification, \$130 fee (no small entity discount) 180.00 Other (e.g., late filing surcharge): submission of Information Disclosure Statement

,	<b>D</b>		
BUDMITTED BY			
Signeture	Colombon Home	Registration No. 41,526	Telephone 3027291562
Name (Print/Type)	Winston Hsu		Date JUL 3 1 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentisity is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the including Lase. Any comments on the amount of time you require to complete this form and/or suggestions for reducing his burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.